



September 15, 2017

Terms of Reference

For conducting a survey on assessing capacity of miners and traders who attended formal and responsible ASM related various trainings

Place of mission: ASM density areas: Bayankhongor, Selenge, Tuv, Dundgobi, Dornogobi, Khentii, Gobi-Altai aimags

Duration of mission: October 6 - December 20, 2017

1. Background

The development goal of SAM Phase 4 is “an economically sustainable, environmentally responsible and human rights-based ASM sector in Mongolia benefiting from, and contributing to, global best practice regarding artisanal and small-scale mining”. One of the main outputs towards above goal is assisting artisanal miners in integrating into formalised, responsible and commercially viable supply chains with an indicator articulated in the Project Logframe: “Over 40% of ASMrs and traders who have undergone training on formal and responsible mining have introduced measures to improve responsible ASM to their operations.”

Between 2015-2017, SAM Project through its partners has reached out to 30,000 miners through various trainings and workshops on human rights, environmental management, business development, occupational health and safety, organizational development, TVET, gold supply chain, etc.

SAM project is planning to conduct a survey to evaluate effectiveness of these trainings and to assess ASMrs and traders who have introduced measures to improve responsible ASM following the trainings. SAM project seeks a qualified service provider to conduct the survey.

2. Objectives

The main objective of this assignment is to conduct a survey on assessing the capacity of miners and traders who attended formal and responsible ASM related various trainings through 2015-2017 in order to see how many miners and traders have introduced measures to improve responsible ASM to their operation. Another objective of this survey will be to provide a general assessment for the effectiveness of all the trainings and find out if there are any weak points and key issues to pay attention to while planning and developing future trainings.

General and Specific Tasks:

The Service provider will do the following:

1. Conduct desk review and make a list of trainings conducted by SAM and by its partners with SAM funding (2015-2017) and a participants list by gender.
2. Define the scope of the survey (number and type of interviews, target aimags and soums) and develop a workplan
3. Develop a questionnaire for the survey
4. Carry out the survey. Specific attention should be paid to the following :
 - Assess what percentage of the trainees has formalized their activities, introduced measures to improve responsible ASM; analyse the reasons for not doing it.
 - Measure the relevance of the trainings proposed, suggest ways of improving them .
5. Write a results-based report in English and Mongolian.

The below table shows ASM density aimags and soums, to which SAM project has delivered the most number of trainings. Based on consultations with the project, the service provider must target below ASM density areas, where different trainings on ASM formalization, human rights, environment, business development, vocational education etc. have been conducted.

No	Aimags	Soums
1	Bayankhongor	Bayan-Ovoo
		Bumbugur
		Jargalant
		Galuut
2	Selenge	Mandal
		Tunkhel
3	Tuv	Bornuur
		Zaamar
4	Dundgobi	Khuld
		Ulziit
5	Dornogobi	Airag
6	Khentii	Batnorov
		Norovlin
7	Gobi-Altai	Yesunbulag

3. Expected results

Results of the survey on assessing capacity of miners and traders who attended formal and responsible ASM related various trainings will contribute to Output 2.2 of the SAM project Phase IV with following outcomes:

- A clear number or percentage of miners who have formalized their activities and introduced measures to improve responsible ASM to their operations.
- Assessment on the capacity of ASMs, who attended trainings funded or organized by SAM project
- Assessment on the efficiency of trainings delivered from or with funding from SAM project through 2015-2017.
- SAM project and its partners including ASM NF, MRPAM, NHRC, PMAID will have recommendations to review and revise their training content for future plans on capacity building for ASMs.

4. Tentative work schedule

The Service provider will develop a detailed work plan for conducting this follow-up survey.

#	Activities	Timeframe
1	Deadline for submission	September 28
2	Selection of the Service provider and contracting	October 6
3	Desk review and develop a questionnaire and a workplan to conduct the survey	October 9-13
4	Data and info collection through updated questionnaire and field visits	November 20
5	Process and analyze data and info	December 8
6	Submit a draft report for SAM comments	December 15
7	Final report submission	December 20

5. Financing

The proposal offering the best cost/benefit ratio will be selected. The overall budget should not exceed 12,000 CHF.

6. Qualifications for service provider

The service provider shall be an organization with extensive experience on conducting survey amongst local communities, community development, empowerment, good governance, human rights, and participatory tools. Working experience with artisanal and small-scale mining

communities shall be an asset. Competence in organizational assessment, appraisals and organizational development are required.

7. Monitoring

The service provider will report to and be monitored by the Economic Development Expert of SAM project for the overall duration of the project. Feedback meetings with SAM and the Service provider will be organized at least twice during the assignment.

8. Reporting

The service provider will provide a written report in both Mongolian and English languages within December 20, 2017. An oral presentation may be required in order to discuss the draft report before submission of the final version.

9. Reference documents

- SAM Phase 4 Project Document